

AGENDA ITEM 3b
TABLE 6A - STATUS OF INTERNAL AUDIT PROJECTS
AS OF MARCH 31, 2011

Item	Description	Status
Internal Audit, Consulting and Follow-up Projects		
1	Cash management (FY 2009-10)	Complete
2	Centralized collection unit (FY 2009-10)	Complete
3	Internal domestic fixed income (FY 2009-10)	Complete
4	Internal use of retired annuitants (FY 2009-10)	Complete
5	Medco pharmacy benefit manager – claims review (FY 2007-08)	Complete
6	Transition management – external manager (FY 2009-10)	Complete
7	Audit follow-up and resolution	Complete
8	Real estate program management (FY 2009-10)	Complete
9	PeopleSoft HIPAA safeguard compliance	Complete
10	Board election management	Complete
11	Review and analysis of CalPERS financial statements	Complete
12	Cashier unit	Complete
13	Coordination of annual CalPERS financial statements	Complete
14	Coordination of management letter from external auditor related to the financial statement audit	Complete
1	Investment internal control framework (FY 2009-10)	In Progress - Draft
2	Review of third-party administrator of Long-Term Care Program (FY 2009-10)	In Progress - Draft
3	Member Home Loan Program	In Progress - Draft
4	Review HMO performance and contract compliance (Kaiser)	In Progress - Draft
5	Regional offices	In Progress - Draft
6	Coordination of RFP for new financial statement auditor	In Progress
7	Contracts within Investment Office	In Progress

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8	Coordinate contract real estate compliance audits	In Progress
9	Monitor and resolve real estate compliance audit findings to report to the Board of Administration	In Progress
10	State Street client specific review	In Progress
11	Third-party services	In Progress
12	Quarterly status report	In Progress
13	Financial ratio analysis of HMOs and PPOs contracting with CalPERS	In Progress
14	Review contract compliance of third-party administrator of Self Funded Program	In Progress
15	Preparation of RFP for real estate and other specialty investment auditors	In Progress
16	Organization of Information Security	In Progress
17	Public agency special projects and requests	In Progress
18	Public agency risk assessment	In Progress
19	Enterprise Risk Management (ERM)	In Progress
20	Business continuity management	In Progress
21	HIPAA compliance for health care	In Progress
22	Compliance administration-internal management of program	In Progress
23	Health plan eligibility	In Progress
24	Investment accounting reconciliation	In Progress
25	Alternative investment management external partner review	In Progress
26	Credit enhancement program	In Progress
27	Securities lending program	In Progress
28	Governance assessment overview (Standard 2110)	In Progress
29	Enterprise-wide risk assessment and audit plan	In Progress

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30	Financial Integrity and State Manager's Accountability (SAM 20060)	In Progress
31	Fraud risk assessment	In Progress
32	Review of Long Term Care Program oversight	In Progress
33	Enterprise Transition Management (ETM)	In Progress
34	Contract workout sessions	In Progress
35	Analysis of basic financial statement preparation process	In Progress
36	Pension System Resumption (PSR) project <ul style="list-style-type: none"> • Death benefit • Security 	In Progress
37	Management consulting projects <ul style="list-style-type: none"> • Global equities RFP review – Complete • Cost allocation analysis – Complete • Bond issuance disclosures analysis – Complete • Fraud policy update – Complete • Real estate asset transfer – Complete • Public agency health receivables – In Progress • Ethics helpline analysis – In Progress • CERBT fund analysis – In Progress • Benefit testing – In Progress • Special Board election – Member at Large – In Progress • 457 Plan agency review – In Progress 	In Progress
1	Appeals and grievances	Deferred
2	Budget management	Deferred
3	Rate development for HMOs	Deferred
4	Security strategy and policy	Deferred
5	Triennial review – contract and procurement	Deferred
6	Access controls	Deferred
7	Contract development for HMO, TPA, and PBM	Deferred